

WealthTechs Completes SOC 2 Type I Attestation

Achievement Demonstrates Continued Commitment To Customers For Security

2018 WealthTechs Completes SOC 2 Type I Attestation. April 2, 2018, Carlsbad, California – WealthTechs, a leading custodian data technology provider for advisors using SS&C Advent and other portfolio accounting systems, today announced that it has received the SOC 2 Type I attestation report.

The completion of this engagement provides evidence that WealthTechs has a strong commitment to maintaining stringent and effective operating controls and processes for the security of its customer services and associated systems.

The SOC 2 Type I attestation report, issued by AARC-360, LLC, an independent CPA firm, confirms that WealthTechs has met the standards established by the American Institute of Certified Public Accountants [AICPA].

AARC-360 tested the operational effectiveness of controls implemented by WealthTechs for the Trust Services Principles for Security.

The report confirms WealthTechs' commitment to rigorous security standards and procedures. WealthTechs offers custodian data aggregation, data normalization and integration-based trust-based services to its advisor customers, and by communicating the results of this audit, they can be assured of their reliance on WealthTechs' controls.

"Trust and compliance commitments are critical for WealthTechs' customers and we are dedicated to providing service and data security assurance alongside our Portfolio Accounting Data ("PAD") initiatives," said Eljean Barimbao, Chief Information Security Officer, WealthTechs. "Our new attestation with the SOC 2 Type I standard demonstrates WealthTechs' enduring commitment to maintain the highest level of security and continuity of our critical customer data & operations."

WealthTechs provides Advisors with advanced technology to aggregate, normalize and integrate financial account data from the world's leading custodian banks with Advent Axys, APX, Geneva and other leading portfolio accounting systems.

About WealthTechs

WealthTechs Inc. is a leading data technology firm serving the larger institutional and high & ultra-high net worth advisor industry. WealthTechs provides advisors with advanced technology to aggregate, normalize and integrate financial account data from the world's leading custodian banks with the Advent Axys, APX, Geneva and other leading portfolio accounting systems. The WealthTechs team brings together industry experience from the most established companies in the industry including SS&C, Advent Software, Satuit Technologies, Factset, and Broadridge. WealthTechs is rapidly growing due to high demand for its high quality, integrated custodian financial account data solutions.

WealthTechs is headquartered in Carlsbad, California, with offices in, Park City, Utah, New York City, Boston and Argentina.

WealthTechs currently offers custodian data solutions for leading custodian banks, such as: Interactive Brokers, Charles Schwab, TD Ameritrade, Pershing, Raymond James, State Street Bank, Wells Fargo First Clearing and over 80 others. WealthTechs also provides seamless integration to the following investment systems: Advent APX, Advent Axys, Advent Geneva, Schwab Portfolio Center, AssetBook, Finfolio, WealthSite and assists customers with custom data integration projects as well.

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